

$\pi_1$  as a functor

Objects

$(X, x_0)$

$\pi_1(X, x_0)$

Morphisms

$f: (X, x_0) \rightarrow (Y, y_0)$

$f_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$   
 $[g] \mapsto [f \circ g]$

On this page, "o" means actual function composition; NOT the  $\pi_1$  composition.

$(D^n, \partial D^n) \xrightarrow{g} (X, x_0)$   
 $\searrow f \circ g \quad \downarrow f$   
 $(Y, y_0)$

$g \sim g' \Rightarrow f \circ g \sim f \circ g'$ , so well-defined.

$\begin{bmatrix} g & g' \end{bmatrix} \xrightarrow{f \circ} \begin{bmatrix} f \circ g & f \circ g' \end{bmatrix}$

so  $f_*$  is a group homomorphism.

Identity

constant map is sent to constant map.

$(D^n, \partial D^n) \xrightarrow{e} (X, x_0) \xrightarrow{f} (Y, y_0)$

Composition

$(X, x_0) \xrightarrow{f} (Y, y_0) \xrightarrow{f'} (Z, z_0)$

$\pi_1(X, x_0) \xrightarrow{f_*} \pi_1(Y, y_0) \xrightarrow{f'_*} \pi_1(Z, z_0)$

homotopy invariance

If  $f_0$  is homotopic to  $f_1$ ,  $(f_0)_* = (f_1)_*$ .

(Given  $g: (D^n, \partial D^n) \rightarrow (X, x_0)$ ,

very easier to prove homotopy invariance for  $\pi_1$  than for  $H_0$ !

$f_0 \circ g \sim f_1 \circ g \Rightarrow [f_0 \circ g] = [f_1 \circ g]$

## Relative homotopy groups

Last time we defined homotopy groups:

$$\pi_n(X, x_0) := \text{Maps}_* (S^n, *, (X, x_0)) / \text{homotopy}$$

And we saw this was an abelian group.

Remark How did we get a group out of homotopy?

We deliberately "linearized" spaces of maps by taking

$\mathbb{Z} \text{Maps}(\Delta^n, X)$ . Nothing about  $\Delta^n$  wanted to  
intrinsically turn this mapping space into a group,  
so we artificially threw in elements like  
"minus  $\sigma$ ",  $\sigma: \Delta^n \rightarrow X$ .

But for  $\pi_n$ , the group structure simply emerged  
from the geometry of based maps. Didn't need  
to take a free abelian group or anything!

~~Remark Relative homology~~

Let  $A \subset X$  be a subspace s.t.  $x_0 \in A$ .

Choose a basepoint  $*$   $\in \partial D^n$ ,

and let  $f_0, f_1: D^n \rightarrow X$

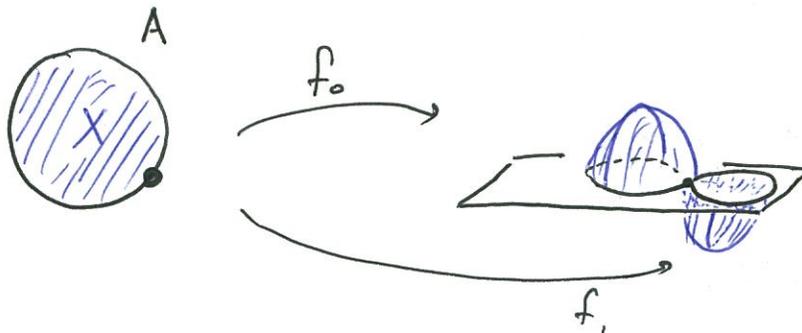
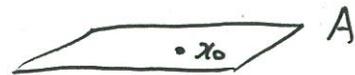
be maps s.t.

$$f_0(\partial D^n) \subset A$$

$$f_1(\partial D^n) \subset A$$

$$f_0(*) = x_0$$

$$f_1(*) = x_0$$



Say  $f_0 \sim f_1$  iff  $\exists F: D^n \times [0,1] \rightarrow X$

s.t.

$$\cdot F(-, 0) = f_0$$

$$\cdot F(-, 1) = f_1$$

$$\cdot F(*, t) = x_0 \quad \forall t \in [0,1]$$

$$\cdot F(q, t) \in A \quad \forall q \in \partial D^n, t \in [0,1].$$

Defn. The relative homotopy groups of  $(X, A)$  are

$$\pi_n(X, A, x_0) := \frac{\text{Maps}((D^n, \partial D^n, *), (X, A, x_0))}{\sim}$$

Here, by

$$\text{Maps } (D^n, \partial D^n, *) \rightarrow (X, A, x_0)$$

we mean the set of

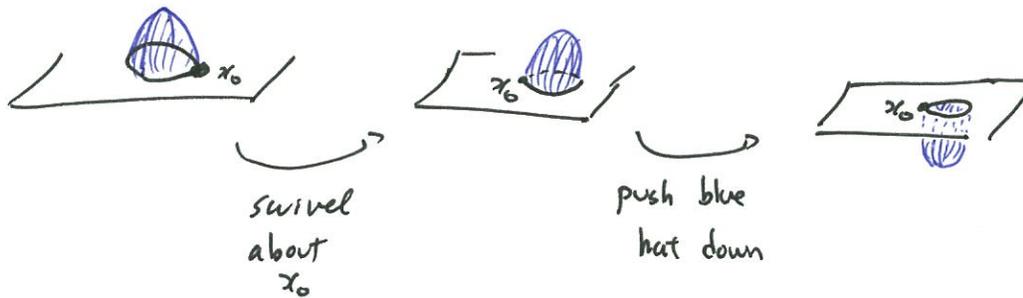
$$f: D^n \rightarrow X$$

such that

$$f(\partial D^n) \subset A$$

$$f(*) = x_0.$$

Ex. The examples of  $f_0, f_1$  I drew are in same equivalence class:



Prop'n.  $[f] = [\text{constant map to } x_0]$



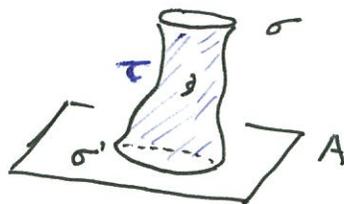
$$\exists f' : D^n \rightarrow A \text{ s.t. } [f'] = [f].$$

Rmk i.e., If  $f'$  sends  $D^n$  inside  $A$ , the whole map  $f'$  can be contracted to  $x_0 \in A \subset X$ .

Rmk Similar to relative homology.

Then,  $\sigma \in C_n(X, A)$  has  $[\sigma] = [0] \in H_n(X, A)$

iff  $\sigma$  is homologous to  $\sigma' \in C_n(A)$ .



$\tau$  can have all kinds of non-cylindrical topology.

Here,  $[f] = [\text{constant map}] \in \pi_n(X, A, x_0)$

iff  $f$  is homotopic to  $f' \in \text{Maps}_*(D^n, A)$ .

Pf. Note that  $D^n$  deformation retracts to  $*$ .



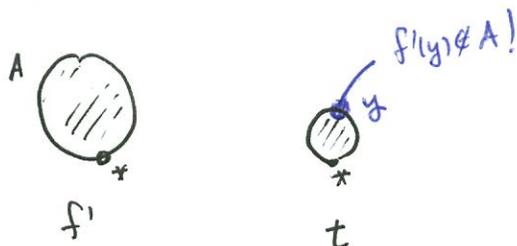
The composite

$$D^n \times [0, 1] \xrightarrow{\text{deformation retraction}} D^n \xrightarrow{f'} X$$

gives a homotopy from  $f'$  to the constant map //

Rmk If  $f'(D^n)$  is NOT contained in  $A$ , this proof fails.

This is because at some time  $t$ ,



there will exist some  $y \in \partial D^n$  s.t.  $f_t(y) \notin A$ , so  $f_t \notin \text{Maps}((D^n, \partial D^n, *), (X, A, x_0))$ .

Ex Let  $X = \mathbb{R}^3$ ,  $A = S^2$ .

There's an obvious map

$$f: (D^3, \partial D^3, *) \rightarrow (X, A, x_0)$$

that just embeds  $D^3$  via standard embedding.



the "•••" represents the interior of  $D^3$ .

$$[f] \neq [\text{constant map}],$$

(Why? For instance,  $f$  induces a map on relative homology,

$$f_* : H_3(D^3, \partial D^3) \rightarrow H_3(X, A) \cong H_3(S^3 \setminus \text{pt}, S^2) \cong H_3(D^3, S^2)$$

which is an isomorphism on  $H_3$ .

But the constant map induces the zero map on  $H_3$ .)

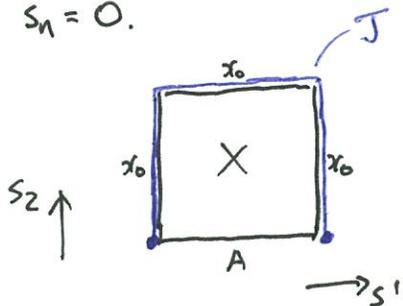
For  $n \geq 2$ , there's a group structure on  $\pi_n(X, A, x_0)$ .

Note a map  $(D^n, \partial D^n, *) \rightarrow (X, A, x_0)$

is the same thing  
as a map

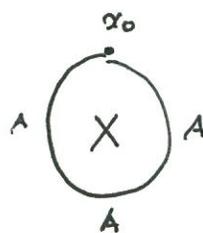
$$(I^n, \partial I^n, J) \rightarrow (X, A, x_0)$$

where  $J \subset \partial I^n$  is what you get when you delete the interior of the face  $s_n = 0$ .

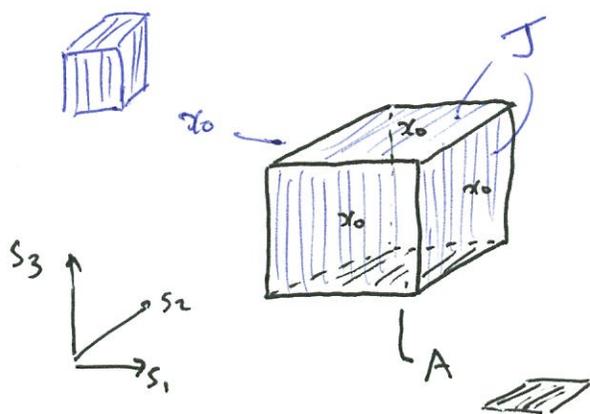


$$(I^2, \partial I^2, J)$$

shrink  
J to a point



$$(D^2, \partial D^2, *)$$



$$(I^3, \partial I^3, J)$$

shrink J  
to a point



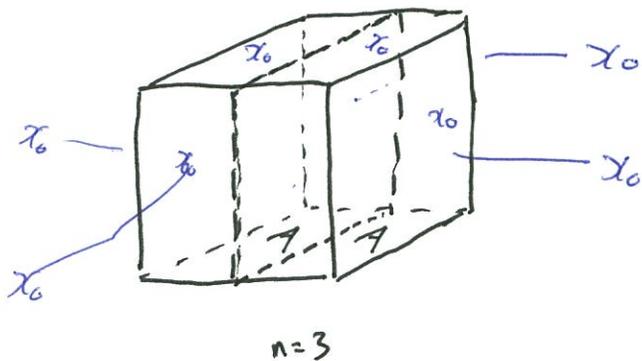
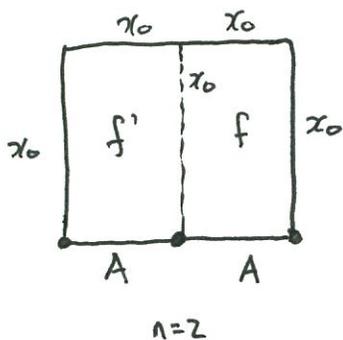
$$(D^3, \partial D^3, *)$$

So given two maps  $f, f': (I^n, \partial I^n, J) \rightarrow (X, A, x_0)$

define

$$f' \circ f(s_1, \dots, s_n) = \begin{cases} f(\overset{2s_1}{\cancel{s_1}}, s_2, \dots, s_n) & s_1 \in [0, \frac{1}{2}] \\ f'(2s_1 - 1, s_2, \dots, s_n) & s_1 \in [\frac{1}{2}, 1] \end{cases}$$

so we have



Rmk Note that given  $f: (I^n, \partial I^n, J) \rightarrow (X, A, x_0)$

the restriction  $f|_{S_n=0}$

to the bottom face produces an element of  $\pi_{n-1}(A, x_0)$ .

Obviously,

$$(f' \circ f)|_{S_n=0} = (f'|_{S_n=0}) \circ (f|_{S_n=0})$$

so we have a homomorphism

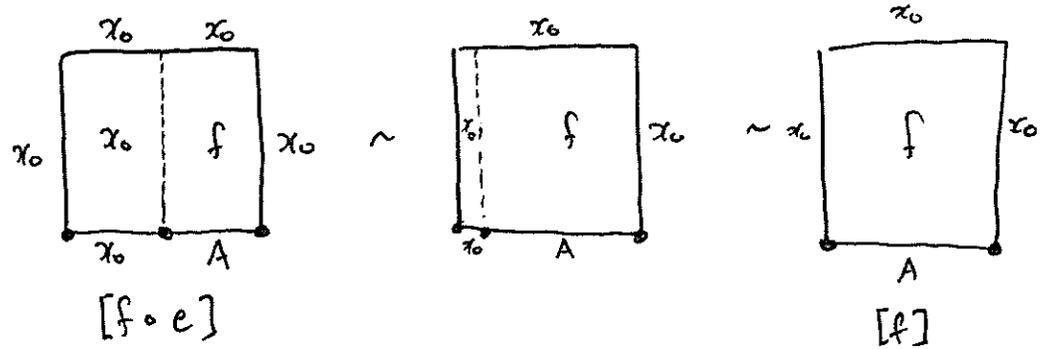
$$\pi_n(X, A, x_0) \xrightarrow{\partial_n} \pi_{n-1}(A, x_0).$$

Isn't this fun?  
Very natural  
and clever!

This will be the connecting homomorphisms in a long exact sequence.

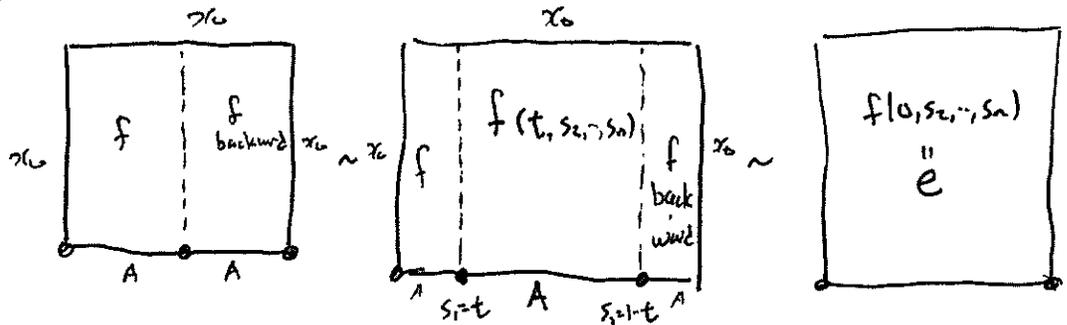
Rmk I got ahead of myself. This operation  $f \circ$  really defines a group structure on  $\mathcal{T}_h(X, A, x_0)$ .

• Identity:  $e = \text{constant map to } x_0$ .



likewise,  $[e \circ f] \cong [f]$ .

• Inverses:



• Associativity: Reparametrize





Recall the map

$$\pi_n(X, A, x_0) \xrightarrow{\partial_n} \pi_{n-1}(A, x_0)$$

from before.

Thm The sequence

$$\begin{array}{c} \hookrightarrow \pi_n(A, x_0) \xrightarrow{i_*} \pi_n(X, x_0) \xrightarrow{j_*} \pi_n(X, A, x_0) \\ \hookrightarrow \pi_{n-1}(A, x_0) \longrightarrow \dots \end{array} \Bigg) \partial_n$$

is exact.

As we'll see, the proof requires the fact that the pair

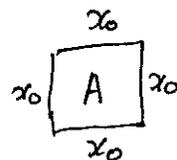
$$(I^n, I^{n-1} = \{\bar{s} \mid s_n = 0\})$$

satisfies the homotopy extension property, or HEP.

Pf. By earlier propn,  $[f] \in \pi_n(X, A, x_0)$  is equal to  $[e]$  iff  $f$  is homotopic to a map w/ image completely in  $A$ . So

$$\begin{aligned} \text{Ker } j_* &= \{ \text{homotopy classes of maps} \\ &\quad (D^n, \partial D^n) \rightarrow (X, x_0) \\ &\quad \text{homotopic to maps factoring through } A \} \\ &= \text{image}(i_*). \end{aligned}$$

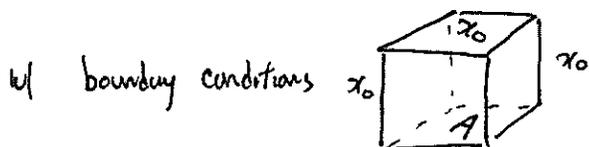
• Now,  $\text{Ker } i_*$  is the set of homotopy classes of maps that can be homotoped to the constant map.



This homotopy gives a map

$$F: I^n \times [0, 1] \rightarrow X$$

$$\text{Ker } i_* = \text{Image } \partial.$$



which means  $[F] \in \pi_{n+1}(X, A, x_0)$ . Restricting  $F$  to bottom face recovers original map, so  $\text{Ker } i_* \subset \text{Image } (\partial)$ .

OTOH, a map  $F: I^{n+1} \rightarrow X$ ,  $[F] \in \pi_{n+1}(X, A, x_0)$  gives a homotopy from the bottom face to the constant map, so  $\text{Ker } i_* \supset \text{Image } (\partial)$ .

•  $\text{Ker } \partial =$  maps  $\begin{matrix} \square \\ X \\ A \end{matrix}$  s.t.  $\begin{matrix} \text{---} \\ A \end{matrix}$  can be homotoped to constant map.

By HEP, we get a homotopy from  $\begin{matrix} \square \\ X \\ A \end{matrix}$  to  $\begin{matrix} \square \\ X \\ x_0 \end{matrix}$ , so  $\text{Ker } \partial \subset \text{Image } (j)$ .

On the other hand, any  $[F] \in \text{Image } (j)$  looks like  $\begin{matrix} \square \\ X \\ x_0 \end{matrix}$  so its restriction to the bottom face is constant.  $\text{Ker } \partial \supset \text{Image } (j)$ . //

Remark. The LES ends w/

$$\pi_1(X, x_0) \longrightarrow \pi_1(X, A, x_0) \xrightarrow{\partial_1} \pi_0(A, x_0) \xrightarrow{i_*} \pi_0(X, x_0) \xrightarrow{j_*} \pi_0(X, A, x_0) \longrightarrow *$$

Only  $\pi_1(X, x_0)$  is a group, the rest of these are just sets!

Really, pointed sets: [constant map] is a distinguished element in each.

So define  ~~$\ker(\partial_1) = \{[f] \mid \partial_1[f] = [c]$~~

$$|\mathcal{K}(\partial_1) = \{[f] \mid \partial_1[f] = [\text{constant map}]\}$$

$$|\mathcal{K}(i_*) = \{[f] \mid i_*[f] = [\text{constant map}]\}$$

and define  $\pi_0(X, A, x_0)$  to be the set of <sup>path-</sup>connected components of  $X$  that never intersect  $A$ .